



**Evaluation Committee Erasmus+ KA107 Call  
2016  
1st Round May 2016**

## Introduction

The purpose of this information note is to explain the proposal for funding under the Erasmus+ KA107 action, mobility of students and staff with partner countries.

The action KA107 is funded from the heading 4 budget of the European Commission. The aim of the action is to extend the well-known Erasmus mobility of individuals between programme countries to other regions across the globe.

The call for proposals EAC/A04/2015 was launched on 22/10/2015 with a deadline on 2 February 2016.

For the Netherlands a total budget of 4.402.374 million euro was available covering 11 different geographic regions. The ACP region (Africa, Caribbean and Pacific) funded from the EDF (European Development Fund) envelop was introduced for the first time.

The National Agency (NA) received 22 applications which were submitted to an eligibility check according to the requirements of the Erasmus+ programme. Each application consists of one or more cooperation projects with HEIs in partner countries (named as applications in the budget tables below).

The eligibility check consisted of a check of the following aspects:

1. the applicant is a Dutch HEI with an ECHE
2. the applicant used the e-form and submitted only one application for the action
3. the activities applied for are in line with the action.

All 22 applications were considered eligible and were submitted to a qualitative assessment organized by the NA according to the specific Guide for the assessment of KA107 proposals (Annex I) and the Guide for National Agencies of the European Commission.

The following distribution can be observed:

Type of institution	Nr of applications
Universities of applied sciences	12
Research universities	7
HEI overseas territories	2
Other	1
Total	22

Seven HEIs are applying for the first time in 2016. The remaining 12 HEIs have applied in 2015. Eight of those applications received a contract before.

### Evaluation committee: composition and tasks

In view of the grant award decision, the NA set up an Evaluation Committee according to the requirements of the Guide for NAs, to support the NA in preparing the grant award proposal. The Evaluation Committee for the action KA107 is composed of three members from EP-Nuffic, the National Unesco Committee and the Dutch Ministry of Education, Culture and Science.

The Evaluation Committee:

1. Validates the results of the formal eligibility check;
2. Assesses the list of sets of mobility flows per budget envelop. The sets of mobility flows are sorted in order of merit as a result of a qualitative assessment;
3. Based on the proposal prepared by the NA, the Evaluation Committee makes a proposal for applications to be accepted, rejected or put on a reserve list based on their quality;
4. Proposes a grant award per budget envelop according the merit of the proposals to the Director NA Erasmus+ who will take the final decision. Any exception to the ranking will be duly justified and documented.
5. The members of the Evaluation Committee sign the grant award proposal.
6. The NA Director will take the grant award decision based on the proposal of the Evaluation Committee.

### Qualitative assessment

The NA appointed three external experts to carry out the qualitative assessment of the KA107 proposals. The experts were appointed on the basis of the following criteria:

- previous experience with the evaluation of KA107 proposals
- experience with the evaluation of other Erasmus+ actions
- expertise in the field of internationalization of higher education and cooperation with partner countries.

The assessment was organized as follows: each proposal was assessed by one expert. A second expert acted as reviewer to guarantee consistency of use of the award criteria and feedback comments towards applicants.

The NA provided a specific training before the start of the evaluation exercise and prepared a complete information package to support the evaluation process. The assessment was an independent exercise and the experts involved signed a declaration of conflict of interest. In accordance with the Erasmus+ programme guide and the guidelines for experts, the following aspects were assessed:

1. The applications are assessed according the criteria of
  - ✓ Relevance of the strategy (30 points)
  - ✓ Quality of cooperation (30 points)
  - ✓ Quality of project design and implementation (20 points)
  - ✓ Impact & dissemination (20 points)
2. There is a threshold of 60 points requirement to be eligible for funding and at least half of the points must be obtained in the criterion “relevance of strategy”.
3. The selection of applications consists of a ranking list by budget envelop (in total 11). The budget envelopes are stipulated by the European Commission.
4. The budget envelopes DCI Asia, DCI Central Asia, DCI Latin America, DCI South Africa and EDF covering different regions are submitted to priorities settled by the European Commission regarding incoming and outgoing mobility. Furthermore, and

due to the small amount (below € 60.000), additional criteria have been added by the National Agency to the envelop DCI South Africa.

Annex II shows a detailed overview of the budget for The Netherlands for the present call and corresponding conditions for each of the funding instruments.

### Results of the qualitative assessment

Annex III contains the results of the qualitative assessment.

Received	Rejected applications	Applications partially approved	Applications totally approved
22	2	3	17

### Choice of regions and budget request

The following can be noticed:

1. There is little interest for the regions ENI South, ENI East, IPA and DCI Central Asia. As consequence circa 1,8 million euro are left unspent in these regions.
2. On the contrary, the demand in the budget envelopes of DCI Asia, Latin America and the PI instruments exceeds largely the available budget.

### Grant distribution methodology

Within the general methodology as laid out in the guidelines for the selection of KA107 proposals and the Erasmus+ programme guide (points 1 and 2), the NA set the following additional rules for the grant distribution:

1. The ranking as a result of the experts assessment
2. Geographical balance in the multi country budget envelopes according to the targets set by the EC (see annex V) and, when possible award more than two countries in the same budget envelop.
3. Benefit the maximum number applications of enough quality within the same envelope
4. Award entire projects whenever possible and introduce budget cuts in the envelopes where the requested grant exceeds the available budget
5. When budget cuts are used, the same reduction is applied to projects with the same range of points within the same budget envelope. Reductions will be effectuated according to the following scale:
  - 91 points and higher: X% reduction and max 20% reduction of the requested grant
  - 81 – 90 points: X% reduction to fit the budget available
  - 70 – 80 points: X % reduction to fit the budget available
6. Reductions will not exceed 50% of the applied budget, except in duly justified cases and when necessary to use unspent budget.
7. Reductions will maintain as much as possible the types of mobility requested and cut first the number of persons by requested type of mobility. Priority will be given to staff mobility.
8. Exhaust budget envelopes as much as possible

The NA used the calculation tool developed by the European Commission linked to E+ link, the Erasmus+ ICT tool for programme management by the NA. Variables in the calculation of the budget are: travel, individual support for staff mobility for teaching and training (incoming and outgoing) and student mobility (incoming and outgoing) and support for the organization of

mobility (OS).

### Grant award proposal

Annex IV is an overview of the applications proposed for funding according to the budget envelop. It contains also an overview of the grant award proposal by HEI.

A summary of the grant award proposal by budget envelope is presented below.

#### IPA

<b>R1 - Western Balkans</b>	
Budget Available	€ 837.525,00
Budget Spent	€ 173.123,00
Budget Left	€ 664.402,00
Applications Received	4
Applications Awarded	4
Applications Rejected due lack of funds	0
Applications Under treshold	0

- Four applications for cooperation with the regions were submitted.
- All applications fulfill the quality requirement and are proposed for funding.

#### ENI East

<b>R2 - Eastern Partnership Countries</b>	
Budget Available	€ 686.242,00
Budget Spent	€ 394.078,00
Budget Left	€ 292.164,00
Applications Received	7
Applications Awarded	7
Applications Rejected due lack of funds	0
Applications Under treshold	0

- Seven applications for cooperation with the region were submitted.
- All applications fulfill the quality requirements and are proposed for funding.

**ENI South**

R3 - South Mediterranean Countries	
Budget Available	€ 908.119,00
Budget Spent	€ 144.232,00
Budget Left	€ 763.887,00
Applications Received	3
Applications Awarded	3
Applications Rejected due lack of funds	0
Applications Under threshold	0

- Three applications for cooperation with the region were submitted.
- All applications fulfill the quality requirements and are proposed for funding.

**Russia**

R4 - Russian Federation	
Budget Available	€ 411.833,00
Budget Spent	€ 412.524,00
Budget Left	€ -691,00
Applications Received	6
Applications Awarded (reduced)	6
Applications Rejected due lack of funds	0
Applications Under threshold	0

- Six applications for cooperation with Russia were submitted.
- All applications fulfill the quality requirements, ranking from 81 points and higher and are proposed for funding.
- Once the total requested amount exceeds the available budget and in order to benefit a larger number of projects, a reduction of the requested grant was applied to all projects according to the methodology.
- The precise reductions have been calculated to fit the available budget and the requested grant the best way possible.

**DCI Asia**

R6 - Asia	
Budget Available	€ 580.942,00
Budget Spent	€ 582.942,00
Budget Left	€ -2.000,00
Applications Received	15
Applications Awarded (5 reduced)	6
Applications Rejected due lack of funds	7
Applications Under threshold	2

- Fifteen applications for cooperation with the region were submitted. Two applications did not reach the threshold of 60 points and were rejected on the ground of insufficient quality.
- Thirteen applications fulfill the quality requirements and qualify for funding.
- Six applications are proposed for funding, following the ranking list. Once the total requested amount exceeds the available budget and in order to benefit a larger number of projects, a reduction of the requested grant was applied to five applications according to the methodology. The sixth application is proposed for funding without reductions. This is according the geographic targets of the funding instrument DCI Asia (at least 25% budget for less developed countries).
- The precise reductions have been calculated to fit the available budget and the requested grant the best way possible.

**DCI Central Asia**

R7 - Central Asia	
Budget Available	€ 180.990,00
Budget Spent	€ 29.072,00
Budget Left	€ 151.918,00
Applications Received	1
Applications Awarded	1
Applications Rejected due lack of funds	0
Applications Under threshold	0

One application for cooperation with the region was submitted, qualifies for funding and is also proposed to receive funding.

**DCI Latin America**

<b>R8 - Latin America</b>	
Budget Available	€ 191.338,00
Budget Spent	€ 189.311,00
Budget Left	€ 2.027,00
Applications Received	13
Applications Awarded (2 reduced)	5
Applications Rejected due lack of funds	6
Applications Under threshold	2

- Thirteen applications for cooperation with the region were submitted.
- Two applications did not reach the threshold of 60 points and were rejected on the ground of insufficient quality.
- Eleven applications fulfill the quality requirements and qualify for funding.
- Five applications are proposed for funding, following the ranking list as follows: three applications awarded without reduction and two proposals for cooperation with Mexico and Brazil reduced by 30% to respect the geographic targets of the funding instrument DCI Latin America (max. 35% budget for Brazil and Mexico together).
- The precise reductions have been calculated to fit the available budget and the requested grant the best way possible.

**DCI South Africa**

<b>R10 - South Africa</b>	
Budget Available	€ 56.676,00
Budget Spent	€ 60.284,00
Budget Left	€ - 3.608,00
Applications Received	3
Applications Awarded (reduced)	2
Applications Rejected due lack of funds	1
Applications Under threshold	0

- Three applications for cooperation with South Africa were submitted and all three qualify for funding.
- Once the budget request largely exceeds the available budget and in order to fund more than one project in this region, two applications are proposed for funding following the ranking list and according to the



methodology.

- The precise reductions have been calculated to fit the available budget and the requested grant the best way possible.

#### EDF

<b>R11 - ACP</b>	
Budget Available	€ 172.373,00
Budget Spent	€ 161.269,00
Budget Left	€ 11.104,00
Applications Received	6
Applications Awarded	4
Applications Rejected due lack of funds	0
Applications Under threshold	2

- Six applications for cooperation with the ACP region were submitted.
- Two applications did not reach the threshold of 60 points and were rejected because of insufficient quality.
- Four applications qualify for funding and are proposed to receive funding.
- The grant request of applications that fulfill the quality requirement remains within the available budget.

#### PI Americas

<b>PI Americas</b>	
Budget Available	€ 182.685,00
Budget Spent	€ 182.127,00
Budget Left	€ 558,00
Applications Received	9
Applications Awarded (reduced)	3
Applications Rejected due lack of funds	3
Applications Under threshold	0

- Nine applications for cooperation with the region were submitted and qualify for funding.
- The total budget request largely exceeds the available budget.
- In order to be able to fund three cooperation proposals with the region and guarantee geographic balance including both the United States and Canada, the grant award proposal is based on principles 2, 3 and 5.
- The precise reductions have been calculated to fit the available budget and the requested grants the best way possible.

**PI Asia**

<b>PI Asia</b>	
Budget Available	€ 193.651,00
Budget Spent	€ 194.451,00
Budget Left	€ -800,00
Applications Received	5
Applications Awarded (reduced)	3
Applications Rejected due lack of funds	2
Applications Under threshold	0

- Five applications for cooperation with the region were submitted.
- The total budget request largely exceeds the available budget.
- In order to be able to fund three cooperation projects and guarantee geographic balance including Japan besides Australia, the grant award proposal is based on principles 2, 3 and 5 of the methodology.
- The precise reductions have been calculated to fit the available budget and the requested grants the best way possible.

**Final remarks**

1. From the 22 applications, 16 are proposed to be awarded funds from KA107.
2. The proposed beneficiaries are: 7 universities of applied sciences and 7 research universities, the university of Aruba and Unesco IHE.
3. Five institutions are new in the action KA107
4. Twenty five partner countries will participate in the action.
5. The NA will organize a second round of applications in order to absorb the unspent budget in the regions: ENI South and ENI East, IPA and DCI Central Asia.

**List of annexes**

- I- Guide for the assessment of KA107 proposals
- II- Overview of the budget KA107 for The Netherlands – call 2016 and countries
- III- Results of qualitative assessment
- IV- Grant award proposal KA107 call 2016 by HEI and by budget envelope
- V- Note for the attention of the Erasmus+ NA Directors: “Managing international credit mobility in Erasmus+”

**Members of the evaluation committee:**

**Director National Agency Erasmus+  
Education & Training**

Date:

Date:

Mrs. Lem van Eupen

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